

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

(70178)

Medical Benefit		Effective Date: 10/01/18	Next Review Date: 07/19	
Preauthorization	No	Review Dates: 03/07, 05/08, 03/09, 01/10, 01/11, 09/11, 09/12, 09/13, 07/14,		
		07/15, 07/16, 07/17, 07/18		

Preauthorization is not required.

The following protocol contains medical necessity criteria that apply for this service. The criteria are also applicable to services provided in the local Medicare Advantage operating area for those members, unless separate Medicare Advantage criteria are indicated. If the criteria are not met, reimbursement will be denied and the patient cannot be billed. Please note that payment for covered services is subject to eligibility and the limitations noted in the patient's contract at the time the services are rendered.

Populations	Interventions	Comparators	Outcomes
Individuals:	Interventions of interest	Comparators of interest	Relevant outcomes include:
 With full-thickness articular 	are:	are:	 Symptoms
cartilage lesions of the knee	 Osteochondral 	 Marrow stimulation 	 Functional outcomes
	autograft	 Autologous chondro- 	Quality of life
		cyte implantation	Treatment-related morbidity
Individuals:	Interventions of interest	Comparators of interest	Relevant outcomes include:
 With full-thickness articular 	are:	are:	• Symptoms
cartilage lesions of the knee	 Fresh osteochondral 	 Osteochondral 	 Functional outcomes
when autografting would be	allograft	autograft	Quality of life
inadequate			Treatment-related morbidity
Individuals:	Interventions of interest	Comparators of interest	Relevant outcomes include:
 With primary full-thickness 	are:	are:	 Symptoms
articular cartilage lesions of	 Osteochondral 	 Marrow stimulation 	 Functional outcomes
the ankle < 1.5cm ²	autograft		Quality of life
			Treatment-related morbidity
Individuals:	Interventions of interest	Comparators of interest	Relevant outcomes include:
 With large (> 1.5 cm²) or 	are:	are:	 Symptoms
cystic (> 3.0 cm³) full-thick-	 Osteochondral 	 Marrow stimulation 	 Functional outcomes
ness articular cartilage	autograft		Quality of life
lesions of the ankle			Treatment-related morbidity
Individuals:	Interventions of interest	Comparators of interest	Relevant outcomes include:
 With osteochondral lesions 	are:	are:	 Symptoms
of the ankle that have failed	 Osteochondral 	 Marrow stimulation 	 Functional outcomes
primary treatment	autograft		Quality of life
			Treatment-related morbidity
Individuals:	Interventions of interest	Comparators of interest	Relevant outcomes include:
 With primary full-thickness 	are:	are:	• Symptoms
articular cartilage lesions of	 Fresh osteochondral 	 Marrow stimulation 	 Functional outcomes
the ankle < 1.5 cm ²	allograft		Quality of life
			Treatment-related morbidity

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

Last Review Date: 07/18

Populations	Interventions	Comparators	Outcomes
Individuals: • With large (> 1.5 cm²) or cystic (> 3.0 cm³) cartilage lesions of the ankle when autografting would be inadequate	Interventions of interest are: • Fresh osteochondral allograft	Comparators of interest are: Osteochondral autograft	Relevant outcomes include:
Individuals: • With revision osteochondral lesions of the ankle when autografting would be inadequate	Interventions of interest are: • Fresh osteochondral allograft	Comparators of interest are: Osteochondral autograft	Relevant outcomes include: • Symptoms • Functional outcomes • Quality of life • Treatment-related morbidity
Individuals: • With full-thickness articular cartilage lesions of the elbow	Interventions of interest are: • Fresh osteochondral autograft	Comparators of interest are: • Marrow stimulation	Relevant outcomes include:
Individuals: • With full-thickness articular cartilage lesions of the shoulder	Interventions of interest are: Osteochondral autograft	Comparators of interest are: • Marrow stimulation	Relevant outcomes include:
Individuals:With full-thickness articular cartilage lesions of the knee, ankle, elbow, or shoulder	Interventions of interest are: • Autologous or allogeneic minced articular cartilage	Comparators of interest are: • Marrow stimulation • Autologous chondrocyte implantation	Relevant outcomes include:
Individuals: • With full-thickness articular cartilage lesions of the knee, ankle, elbow, or shoulder	Interventions of interest are: • Decellularized osteochondral allograft plugs	Comparators of interest are: • Marrow stimulation	Relevant outcomes include:
 Individuals: With full-thickness articular cartilage lesions of the knee, ankle, elbow, or shoulder 	Interventions of interest are: Reduced osteochondral allograft discs	Comparators of interest are: • Marrow stimulation	Relevant outcomes include:

DESCRIPTION

Osteochondral grafts are used in repair of full-thickness chondral defects involving a joint. In the case of osteochondral autografts, one or more small osteochondral plugs are harvested from non-weight-bearing sites, usually from the knee, and press fit into a prepared site in the lesion. Osteochondral allografts are typically used for larger lesions. Autologous or allogeneic minced cartilage, decellularized osteochondral allograft plugs, and reduced osteochondral allograft discs are also being evaluated as a treatment of articular cartilage lesions.

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

Last Review Date: 07/18

SUMMARY OF EVIDENCE

Knee Lesions

For individuals who have full-thickness articular cartilage lesions of the knee who receive an osteochondral autograft, the evidence includes randomized controlled trials (RCTs), systematic reviews of RCTs, and longer term observational studies. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. Several systematic reviews have evaluated osteochondral autografting for cartilage repair in the short- and mid-term. Compared with abrasion techniques (e.g., microfracture, drilling), there is evidence that osteochondral autografting decreases failure rates and improves outcomes in patients with medium-size lesions (e.g., two to six cm²) when measured at longer follow-up. This is believed to be due to the higher durability of hyaline cartilage compared with fibrocartilage from abrasion techniques. There appears to be a relatively narrow range of lesion size for which osteochondral autografting is most effective. The best results have also been observed with lesions on the femoral condyles, although treatment of lesions on the trochlea and patella may also improve outcomes. Correction of malalignment is important for the success of the procedure. The evidence suggests that osteochondral autografts may be considered an option for moderate-sized symptomatic full-thickness chondral lesions of the femoral condyle, trochlea, or patella. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have full-thickness articular cartilage lesions of the knee when autografting would be inadequate due to lesion size, location, or depth who receive fresh osteochondral allograft, the evidence includes case series. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. Due to the lack of alternatives, this procedure may be considered a salvage operation in younger patients for full-thickness chondral defects of the knee caused by acute or repetitive trauma when other cartilage repair techniques (e.g., microfracture, osteochondral autografting, autologous chondrocyte implantation [ACI]) would be inadequate due to lesion size, location, or depth. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

Ankle Lesions

For individuals who have primary full-thickness articular cartilage lesions of the ankle less than 1.5 cm² who receive an osteochondral autograft, the evidence includes observational studies and a systematic review of these studies. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. A systematic review found similar improvements in outcomes following microfracture and autologous osteochondral transplantation (AOT). Given the success of marrow stimulation procedures for smaller lesions (< 1.5 cm²) and the increase in donor-site morbidity with graft harvest from the knee, current evidence does not support the use of AOT as a primary treatment for smaller articular cartilage lesions of the ankle. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have large (area > 1.5 cm²) or cystic (volume > 3.0 cm³) full-thickness articular cartilage lesions of the ankle who receive an osteochondral autograft, the evidence includes an RCT and two observational studies. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. An RCT in patients with large lesions found similar efficacy for AOT, marrow stimulation, and arthroplasty at two year follow-up. Longer term results were not reported. Because observational studies of marrow stimulation in the talus have generally reported worse outcomes and high failure rates for large lesions, there is a strong rationale for using autografts. However, there is limited evidence that osteochondral autografts lead to better outcomes than microfracture at longer follow-up. The strongest evidence is derived from an observational study that showed good improvement on the Foot and Ankle Outcome Score through at least five year follow-up using AOT in both larger (two plugs) and smaller (one plug) lesions. Additional study is needed to evaluate the durability of AOT in larger lesions. The evidence is insufficient to determine the effects of the technology on health outcomes.

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

Last Review Date: 07/18

For individuals who have osteochondral lesions of the ankle that have failed primary treatment who receive an osteochondral autograft, the evidence includes two nonrandomized comparative trials and case series. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. The best evidence for revision AOT comes from a nonrandomized comparative study that found better outcomes with AOT than with repeat marrow stimulation. This finding is supported by case series that have indicated good-to-excellent results at mid-term and longer term follow-up with revision AOT. The evidence is sufficient to determine that the technology results in a meaningful improvement in the net health outcome.

For individuals who have primary full-thickness articular cartilage lesions of the ankle less than 1.5 cm² who receive a fresh osteochondral allograft, there is little evidence. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. Because microfracture is effective as a primary treatment for lesions less than 1.5 cm² and AOT is effective as a revision procedure, use of allograft for small primary cartilage lesions has not been reported. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have large (area > 1.5 cm²) or cystic (volume > 3.0 cm³) cartilage lesions of the ankle when autografting would be inadequate who receive a fresh osteochondral allograft, the evidence includes a small number of patients in an RCT, case series, and a systematic review of case series. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. The systematic review found a significant failure rate with osteochondral allografts for talar lesions. Although there is a potential to delay or avoid arthrodesis or total ankle arthroplasty in younger patients, use of an allograft may be detrimental to future treatments. Additional study is needed. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have revision osteochondral lesions of the ankle when autografting would be inadequate who receive a fresh osteochondral allograft, the evidence includes an RCT. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. The RCT found that outcomes were slightly, but not significantly, worse with osteochondral allografts than with autografts. However, failure due to nonunion was higher in the allograft group, consistent with other reports. The evidence is insufficient to determine the effects of the technology on health outcomes.

Elbow Lesions

For individuals who have full-thickness articular cartilage lesions of the elbow who receive an osteochondral autograft, the evidence includes a meta-analysis of case series. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. Osteochondritis dissecans of the elbow typically occurs in patients who play baseball or do gymnastics. The literature on osteochondral autografts for advanced osteochondritis dissecans of the elbow consists of small case series, primarily from Europe and Asia, and a systematic review of case series. Although the meta-analysis suggested a benefit of osteochondral autographs compared with débridement or fixation, RCTs are needed to determine the effects of the procedure with greater certainty. The evidence is insufficient to determine the effects of the technology on health outcomes.

Shoulder Lesions

For individuals who have full-thickness articular cartilage lesions of the shoulder who receive an osteochondral autograft, the evidence includes a case series. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. Evidence on osteochondral autografting for the shoulder is very limited. The evidence is insufficient to determine the effects of the technology on health outcomes.

Knee, Ankle, Elbow, or Shoulder Lesions

For individuals who have full-thickness articular cartilage lesions of the knee, ankle, elbow, or shoulder who receive autologous or allogeneic minced particulated articular cartilage, the evidence includes a small RCT and

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

Last Review Date: 07/18

small case series. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. The evidence on autologous minced cartilage includes a small RCT. The evidence on allogeneic juvenile minced cartilage includes a few small case series. The case series have suggested an improvement in outcomes compared with preoperative measures, but there is also evidence of subchondral edema, nonhomogeneous surface, graft hypertrophy, and delamination. For articular cartilage lesions of the knee, further evidence, preferably from RCTs, is needed to evaluate the effect on health outcomes compared with other procedures. There are fewer options for articular cartilage lesions of the ankle. However, further study in a larger number of patients is needed to assess the short- and long-term effectiveness of this technology. The evidence is insufficient to determine the effects of the technology on health outcomes.

For individuals who have full-thickness articular cartilage lesions of the knee, ankle, elbow, or shoulder who receive decellularized osteochondral allograft plugs or reduced osteochondral allograft discs, the evidence includes small case series. Relevant outcomes are symptoms, functional outcomes, quality of life, and treatment-related morbidity. The case series on decellularized osteochondral allograft plugs reported delamination of the implants, and high failure rates. Evidence on reduced osteochondral allograft discs consists only of case reports and very small case series. The evidence is insufficient to determine the effects of the technology on health outcomes.

POLICY

Fresh osteochondral allografting may be considered medically necessary as a technique to repair:

- Full-thickness chondral defects of the knee caused by acute or repetitive trauma when other cartilage repair techniques (e.g., microfracture, osteochondral autografting or autologous chondrocyte implantation) would be inadequate due to lesion size, depth or location.
- Large (area > 1.5 cm²) or cystic (volume > 3.0 cm³) osteochondral lesions of the talus when autografting would be inadequate due to lesion size, depth, or location.
- Revision surgery after failed prior marrow stimulation for large (area > 1.5 cm²) or cystic (volume > 3.0 cm³) osteochondral lesions of the talus when autografting would be inadequate due to lesion size, depth or location.

Osteochondral allografting for all other joints is considered **investigational**.

Osteochondral autografting, using one or more cores of osteochondral tissue, may be considered **medically necessary:**

- for the treatment of symptomatic full-thickness cartilage defects of the knee caused by acute or repetitive trauma in patients who have had an inadequate response to a prior surgical procedure, when all of the following have been met:
 - Adolescent patients should be skeletally mature with documented closure of growth plates (e.g., 15 years or older). Adult patients should be too young to be considered an appropriate candidate for total knee arthroplasty or other reconstructive knee surgery (e.g., 55 years of age or younger)
 - o Focal, full thickness (grade III or IV) unipolar lesions on the weight-bearing surface of the femoral condyles, trochlea, or patella that are between one and 2.5 cm² in size
 - Documented minimal to absent degenerative changes in the surrounding articular cartilage (Outerbridge Grade II or less), and normal-appearing hyaline cartilage surrounding the border of the defect

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

- Last Review Date: 07/18
- Normal knee biomechanics, or alignment and stability achieved concurrently with osteochondral grafting.
- Large (area > 1.5 cm²) or cystic (volume > 3.0 cm³) osteochondral lesions of the talus.
- Revision surgery after failed marrow stimulation for osteochondral lesion of the talus.

Osteochondral autografting for all other joints, and any indications other than those listed above, is considered **investigational**.

Treatment of focal articular cartilage lesions with autologous minced or particulated cartilage is considered **investigational**.

Treatment of focal articular cartilage lesions with allogeneic minced or particulated cartilage is considered **investigational**.

Treatment of focal articular cartilage lesions with decellularized osteochondral allograft plugs (e.g., Chondrofix) is considered **investigational**.

Treatment of focal articular cartilage lesions with reduced osteochondral allograft discs (e.g., ProChondrix, Cartiform) is considered **investigational**.

POLICY GUIDELINES

If débridement is the only prior surgical treatment, consideration should be given to marrow-stimulating techniques before osteochondral grafting is performed, particularly for lesions less than 1.5 cm² in area or 3.0 cm³ in volume.

Severe obesity (e.g., body mass index greater than 35 kg/m²) may affect outcomes due to the increased stress on weight-bearing surfaces of the joint.

Misalignment and instability of the joint are contraindications. Therefore additional procedures, such as repair of ligaments or tendons or creation of an osteotomy for realignment of the joint, may be performed at the same time. In addition, meniscal allograft transplantation may be performed in combination, either concurrently or sequentially, with osteochondral allografting or osteochondral autografting.

BACKGROUND

ARTICULAR CARTILAGE LESIONS

Damaged articular cartilage can be associated with pain, loss of function, and disability, and can lead to debilitating osteoarthrosis over time. These manifestations can severely impair an individual's activities of daily living and quality of life. The vast majority of osteochondral lesions occur in the knee with the talar dome and capitulum being the next most frequent sites. The most common locations of lesions are the medial femoral condyle (69%), followed by the weight-bearing portion of the lateral femoral condyle (15%), the patella (5%), and trochlear fossa. Talar lesions are reported to be about 4% of osteochondral lesions. 2

Treatment

There are two main goals of conventional therapy for patients who have significant focal defects of the articular cartilage: symptom relief and articular surface restoration.

First, there are procedures intended primarily to achieve symptomatic relief: débridement (removal of debris and diseased cartilage) and rehabilitation. Second, there are procedures intended to restore the articular sur-

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

Last Review Date: 07/18

face. Treatments may be targeted to the focal cartilage lesion, and most such treatments induce local bleeding, fibrin clot formation, and resultant fibrocartilage growth. These marrow stimulation procedures include microfracture, abrasion arthroplasty, and drilling, all of which are considered standard therapies.

Microfracture

Efficacy of the microfracture technique for articular cartilage lesions of the knee was examined by Mithoefer et al (2009) in a systematic review. Twenty-eight studies (total N=3122 patients) were selected; six studies were randomized controlled trials. Microfracture was found to improve knee function in all studies during the first 24 months after the procedure, but the reports on durability were conflicting. A prospective longitudinal study of 110 patients by Solheim et al (2016) found that, at a mean of 12 years (range, 10-14 years) after microfracture, 45.5% of patients had poor outcomes, including 43 patients who required additional surgery. The size of the lesion has also been shown to affect outcomes following marrow stimulation procedures.

Abrasion

Fibrocartilage is generally considered to be less durable and mechanically inferior to the original articular cartilage. Thus, various strategies for chondral resurfacing with hyaline cartilage have been investigated. Alternatively, treatments of very extensive and severe cartilage defects may resort to complete replacement of the articular surface either by osteochondral allotransplant or artificial knee replacement.

Osteochondral Grafting

Autologous or allogeneic grafts of osteochondral or chondral tissue have been proposed as treatment alternatives for patients who have clinically significant, symptomatic, focal defects of the articular cartilage. It is hypothesized that the implanted graft's chondrocytes retain features of hyaline cartilage that is similar in composition and property to the original articulating surface of the joint. If true, the restoration of a hyaline cartilage surface might restore the integrity of the joint surface and promote long-term tissue repair, thereby improving function and delaying or preventing further deterioration.

Both fresh and cryopreserved allogeneic osteochondral grafts have been used with some success, although cryopreservation decreases the viability of cartilage cells, and fresh allografts may be difficult to obtain and create concerns regarding infectious diseases. As a result, autologous osteochondral grafts have been investigated as an option to increase the survival rate of the grafted cartilage and to eliminate the risk of disease transmission. Autologous grafts are limited by the small number of donor sites; thus, allografts are typically used for larger lesions. In an effort to extend the amount of the available donor tissue, investigators have used multiple, small osteochondral cores harvested from non-weight-bearing sites in the knee for treatment of full-thickness chondral defects. Several systems are available for performing this procedure: the Mosaicplasty System (Smith & Nephew), the OATS (Osteochondral Autograft Transfer System; Arthrex), and the COR and COR2 systems (DePuy Mitek). Although mosaicplasty and autologous osteochondral transplantation (AOT) may use different instrumentation, the underlying mode of repair is similar (i.e., use of multiple osteochondral cores harvested from a non-weight-bearing region of the femoral condyle and autografted into the chondral defect). These terms have been used interchangeably to describe the procedure.

Preparation of the chondral lesion involves débridement and preparation of recipient tunnels. Multiple individual osteochondral cores are harvested from the donor site, typically from a peripheral non-weight-bearing area of the femoral condyle. Donor plugs range from six to 10 mm in diameter. The grafts are press fit into the lesion in a mosaic-like fashion into the same-sized tunnels. The resultant surface consists of transplanted hyaline articular cartilage and fibrocartilage, which is thought to provide "grouting" between the individual autografts. Mosaicplasty or AOT may be performed with either an open approach or arthroscopically. Osteochondral autografting has also been investigated as a treatment of unstable osteochondritis dissecans lesions using multiple dowel grafts to secure the fragment. While osteochondral autografting is primarily performed on the femoral

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

condyles of the knee, osteochondral grafts have been used to repair chondral defects of the patella, tibia, and ankle. With osteochondral autografting, the harvesting and transplantation can be performed during the same surgical procedure. Technical limitations of osteochondral autografting are difficulty in restoring concave or convex articular surfaces, the incongruity of articular surfaces that can alter joint contact pressures, short-term fixa-

Last Review Date: 07/18

tion strength and load-bearing capacity, donor-site morbidity, and lack of peripheral integration with peripheral chondrocyte death.

Reddy et al (2007) evaluated donor-site morbidity in 11 of 15 patients who had undergone graft harvest from the knee (mean, 2.9 plugs) for treatment of osteochondral lesions of the talus.⁵ At an average 47-month follow-up (range, seven to 77 months), five patients were rated as having an excellent Lysholm Knee Scale score (95-100 points), two as good (84-94 points), and four as poor (≤ 64 points). The reported knee problems were insta-

the knee (mean, 2.9 plugs) for treatment of osteochondral lesions of the talus.⁵ At an average 47-month follow-up (range, seven to 77 months), five patients were rated as having an excellent Lysholm Knee Scale score (95-100 points), two as good (84-94 points), and four as poor (≤ 64 points). The reported knee problems were instability in daily activities, pain after walking one mile or more, slight limp, and difficulty squatting. Hangody et al (2001) reported that some patients had slight or moderate complaints with physical activity during the first postoperative year, but there was no long-term donor-site pain in a series of 36 patients evaluated two to seven years after AOT.⁶

Filling defects with minced or particulated articular cartilage (autologous or allogeneic) is another single-stage procedure being investigated for cartilage repair. The Cartilage Autograft Implantation System (CAIS; Johnson & Johnson) harvests cartilage and disperses chondrocytes on a scaffold in a single-stage treatment. The Reveille Cartilage Processor (Exactech Biologics) has a high-speed blade and sieve to cut autologous cartilage into small particles for implantation. BioCartilage (Arthrex) consists of a micronized allogeneic cartilage matrix that is intended to provide a scaffold for microfracture. DeNovo NT Graft (Natural Tissue Graft) is produced by ISTO Technologies and distributed by Zimmer. DeNovo NT consists of manually minced cartilage tissue pieces obtained from juvenile allograft donor joints. The tissue fragments are mixed intraoperatively with fibrin glue before implantation in the prepared lesion. It is thought that mincing the tissue helps both with cell migration from the extracellular matrix and with fixation.

A minimally processed osteochondral allograft (Chondrofix; Zimmer) is now available. Chondrofix is composed of decellularized hyaline cartilage and cancellous bone; it can be used "off the shelf" with precut cylinders (seven to 15 mm). Multiple cylinders may be used to fill a larger defect in a manner similar to AOT or mosaicplasty.

ProChondrix (AlloSource) and Cartiform (Arthrex) are wafer-thin allografts where the bony portion of the allograft is reduced. The discs are laser etched or porated and contain hyaline cartilage with chondrocytes, growth factors, and extracellular matrix proteins. ProChondrix is available in dimensions from seven to 20 mm and is stored fresh for a maximum of 28 days. Cartiform is cut to the desired size and shape and is stored frozen for a maximum of two years. The osteochondral discs are typically inserted after microfracture and secured in place with fibrin glue and/or sutures.

Autologous chondrocyte implantation is another method of cartilage repair involving the harvesting of normal chondrocytes from normal non-weight-bearing articular surfaces, which are then cultured and expanded in vitro and implanted back into the chondral defect. Autologous chondrocyte implantation techniques are discussed in the Autologous Chondrocyte Implantation for Focal Articular Cartilage Lesions Protocol.

REGULATORY STATUS

The U.S. Food and Drug Administration regulates human cells and tissues intended for implantation, transplantation, or infusion through the Center for Biologics Evaluation and Research, under Code of Federal Regulation, title 21, parts 1270 and 1271. Osteochondral grafts are included in these regulations.

DeNovo® ET Live Chondral Engineered Tissue Graft (Neocartilage) is marketed by ISTO Technologies outside of the United States. FDA approved ISTO's investigational new drug application for Neocartilage in 2006, which

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

Last Review Date: 07/18

allowed ISTO to pursue phase 3 clinical trials of the product in human subjects. However, ISTO's clinical trial for Neocartilage was terminated due to poor enrollment as of August 31, 2017.

RELATED PROTOCOLS

Autologous Chondrocyte Implantation for Focal Articular Cartilage Lesions Meniscal Allografts and Other Meniscal Implants

Services that are the subject of a clinical trial do not meet our Technology Assessment Protocol criteria and are considered investigational. For explanation of experimental and investigational, please refer to the Technology Assessment Protocol.

It is expected that only appropriate and medically necessary services will be rendered. We reserve the right to conduct prepayment and postpayment reviews to assess the medical appropriateness of the above-referenced procedures. Some of this protocol may not pertain to the patients you provide care to, as it may relate to products that are not available in your geographic area.

REFERENCES

We are not responsible for the continuing viability of web site addresses that may be listed in any references below.

- 1. Durur-Subasi I, Durur-Karakaya A, Yildirim OS. Osteochondral Lesions of Major Joints. Eurasian J Med. Jun 2015;47(2):138-144. PMID 26180500
- Freeland E, Dowd T. Osteochondral Lesions of the Talus. 2015;
 http://www.aofas.org/PRC/conditions/Pages/Conditions/Osteochondral-Lesions-of-the-Talus.aspx.
 Accessed February 19, 2018.
- 3. Mithoefer K, McAdams T, Williams RJ, et al. Clinical efficacy of the microfracture technique for articular cartilage repair in the knee: an evidence-based systematic analysis. Am J Sports Med. Oct 2009;37(10): 2053-2063. PMID 19251676
- 4. Solheim E, Hegna J, Inderhaug E, et al. Results at 10-14 years after microfracture treatment of articular cartilage defects in the knee. Knee Surg Sports Traumatol Arthrosc. May 2016;24(5):1587-1593. PMID 25416965
- 5. Reddy S, Pedowitz DI, Parekh SG, et al. The morbidity associated with osteochondral harvest from asymptomatic knees for the treatment of osteochondral lesions of the talus. Am J Sports Med. Jan 2007;35(1):80-85. PMID 16957009
- 6. Hangody L, Kish G, Modis L, et al. Mosaicplasty for the treatment of osteochondritis dissecans of the talus: two to seven year results in 36 patients. Foot Ankle Int. Jul 2001;22(7):552-558. PMID 11503979
- 7. Gracitelli GC, Moraes VY, Franciozi CE, et al. Surgical interventions (microfracture, drilling, mosaicplasty, and allograft transplantation) for treating isolated cartilage defects of the knee in adults. Cochrane Database Syst Rev. Sep 03 2016;9:CD010675. PMID 27590275
- 8. Magnussen RA, Dunn WR, Carey JL, et al. Treatment of focal articular cartilage defects in the knee: a systematic review. Clin Orthop Relat Res. Apr 2008;466(4):952-962. PMID 18196358
- 9. Pareek A, Reardon PJ, Macalena JA, et al. Osteochondral autograft transfer versus microfracture in the knee: a meta-analysis of prospective comparative studies at midterm. Arthroscopy. Oct 2016;32(10):2118-2130. PMID 27487736

21030203

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

- 10. Harris JD, Cavo M, Brophy R, et al. Biological knee reconstruction: a systematic review of combined meniscal allograft transplantation and cartilage repair or restoration. Arthroscopy. Oct 26 2011;27(3):409-418. PMID
- 11. Hangody L, Kish G, Karpati Z, et al. Arthroscopic autogenous osteochondral mosaicplasty for the treatment of femoral condylar articular defects. A preliminary report. Knee Surg Sports Traumatol Arthrosc. Jan 1997; 5(4):262-267. PMID 9430578
- 12. Hangody L, Kish G, Karpati Z, et al. Mosaicplasty for the treatment of articular cartilage defects: application in clinical practice. Orthopedics. Jul 1998;21(7):751-756. PMID 9672912
- 13. Hangody L, Vasarhelyi G, Hangody LR, et al. Autologous osteochondral grafting--technique and long-term results. Injury. Apr 2008;39(Suppl 1):S32-39. PMID 18313470
- 14. Solheim E, Hegna J, Oyen J, et al. Osteochondral autografting (mosaicplasty) in articular cartilage defects in the knee: results at 5 to 9 years. Knee. Jan 2010;17(1):84-87. PMID 19666226
- 15. Solheim E, Hegna J, Oyen J, et al. Results at 10 to 14 years after osteochondral autografting (mosaicplasty) in articular cartilage defects in the knee. Knee. Aug 2013;20(4):287-290. PMID 23482060
- 16. Marcacci M, Kon E, Delcogliano M, et al. Arthroscopic autologous osteochondral grafting for cartilage defects of the knee: prospective study results at a minimum 7-year follow-up. Am J Sports Med. Dec 2007; 35(12):2014-2021. PMID 17724094
- 17. Astur DC, Arliani GG, Binz M, et al. Autologous osteochondral transplantation for treating patellar chondral injuries: evaluation, treatment, and outcomes of a two-year follow-up study. J Bone Joint Surg Am. May 21 2014;96(10):816-823. PMID 24875022
- 18. Nho SJ, Foo LF, Green DM, et al. Magnetic resonance imaging and clinical evaluation of patellar resurfacing with press-fit osteochondral autograft plugs. Am J Sports Med. Jun 2008;36(6):1101-1109. PMID 18337357
- 19. De Caro F, Bisicchia S, Amendola A, et al. Large fresh osteochondral allografts of the knee: a systematic clinical and basic science review of the literature. Arthroscopy. Apr 2015;31(4):757-765. PMID 25660010
- 20. Chui K, Jeys L, Snow M. Knee salvage procedures: The indications, techniques and outcomes of large osteo-chondral allografts. World J Orthop. Apr 18 2015;6(3):340-350. PMID 25893177
- 21. Nielsen ES, McCauley JC, Pulido PA, et al. Return to sport and recreational activity after osteochondral allograft transplantation in the knee. Am J Sports Med. Jun 2017;45(7):1608-1614. PMID 28375642
- 22. Gracitelli GC, Meric G, Briggs DT, et al. Fresh osteochondral allografts in the knee: comparison of primary transplantation versus transplantation after failure of previous subchondral marrow stimulation. Am J Sports Med. Apr 2015;43(4):885-891. PMID 25817190
- 23. Zengerink M, Struijs PA, Tol JL, et al. Treatment of osteochondral lesions of the talus: a systematic review. Knee Surg Sports Traumatol Arthrosc. Feb 2010;18(2):238-246. PMID 19859695
- 24. Gobbi A, Francisco RA, Lubowitz JH, et al. Osteochondral lesions of the talus: randomized controlled trial comparing chondroplasty, microfracture, and osteochondral autograft transplantation. Arthroscopy. Oct 2006;22(10):1085-1092. PMID 17027406
- 25. Choi WJ, Park KK, Kim BS, et al. Osteochondral lesion of the talus: is there a critical defect size for poor outcome? Am J Sports Med. Oct 2009;37(10):1974-1980. PMID 19654429
- 26. Chuckpaiwong B, Berkson EM, Theodore GH. Microfracture for osteochondral lesions of the ankle: outcome analysis and outcome predictors of 105 cases. Arthroscopy. Jan 2008;24(1):106-112. PMID 18182210
- 27. Cuttica DJ, Smith WB, Hyer CF, et al. Osteochondral lesions of the talus: predictors of clinical outcome. Foot Ankle Int. Nov 2011;32(11):1045-1051. PMID 22338953
- 28. Ramponi L, Yasui Y, Murawski CD, et al. Lesion size is a predictor of clinical outcomes after bone marrow stimulation for osteochondral lesions of the talus. Am J Sports Med. Jun 2017;45(7):1698-1705. PMID 27852595
- 29. Haleem AM, Ross KA, Smyth NA, et al. Double-plug autologous osteochondral transplantation shows equal functional outcomes compared with single-plug procedures in lesions of the talar dome: a minimum 5-year clinical follow-up. Am J Sports Med. Aug 2014;42(8):1888-1895. PMID 24948585

Last Review Date: 07/18

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

- 30. Yoon HS, Park YJ, Lee M, et al. Osteochondral autologous transplantation is superior to repeat arthroscopy for the treatment of osteochondral lesions of the talus after failed primary arthroscopic treatment. Am J Sports Med. Aug 2014;42(8):1896-1903. PMID 24907287
- 31. Imhoff AB, Paul J, Ottinger B, et al. Osteochondral transplantation of the talus: long-term clinical and magnetic resonance imaging evaluation. Am J Sports Med. Jul 2011;39(7):1487-1493. PMID 21372316
- 32. Kreuz PC, Steinwachs M, Erggelet C, et al. Mosaicplasty with autogenous talar autograft for osteochondral lesions of the talus after failed primary arthroscopic management: a prospective study with a 4-year follow-up. Am J Sports Med. Jan 2006;34(1):55-63. PMID 16157849
- 33. Georgiannos D, Bisbinas I, Badekas A. Osteochondral transplantation of autologous graft for the treatment of osteochondral lesions of talus: 5- to 7-year follow-up. Knee Surg Sports Traumatol Arthrosc. Dec 2016; 24(12):3722-3729. PMID 25326766
- 34. VanTienderen RJ, Dunn JC, Kusnezov N, et al. Osteochondral allograft transfer for treatment of osteochondral lesions of the talus: a systematic review. Arthroscopy. Jan 2017;33(1):217-222. PMID 27546173
- 35. van Dijk CN. Editorial commentary: Bulk osteochondral talar grafts compromise future arthrodesis or prosthesis. Arthroscopy. Jan 2017;33(1):223-224. PMID 28003071
- 36. Ahmad J, Jones K. Comparison of osteochondral autografts and allografts for treatment of recurrent or large talar osteochondral lesions. Foot Ankle Int. Jan 2016;37(1):40-50. PMID 26333683
- 37. Westermann RW, Hancock KJ, Buckwalter JA, et al. Return to sport after operative management of osteo-chondritis dissecans of the capitellum: a systematic review and meta-analysis. Orthop J Sports Med. Jun 2016;4(6):2325967116654651. PMID 27482526
- 38. Bexkens R, Ogink PT, Doornberg JN, et al. Donor-site morbidity after osteochondral autologous transplantation for osteochondritis dissecans of the capitellum: a systematic review and meta-analysis. Knee Surg Sports Traumatol Arthrosc. Jul 2017;25(7):2237-2246. PMID 28391550
- 39. Kircher J, Patzer T, Magosch P, et al. Osteochondral autologous transplantation for the treatment of full-thickness cartilage defects of the shoulder: results at nine years. J Bone Joint Surg Br. Apr 2009;91(4):499-503. PMID 19336811
- 40. Cole BJ, Farr J, Winalski CS, et al. Outcomes after a single-stage procedure for cell-based cartilage repair: a prospective clinical safety trial with 2-year follow-up. Am J Sports Med. Jun 2011;39(6):1170-1179. PMID 21460066
- 41. Farr J, Tabet SK, Margerrison E, et al. Clinical, radiographic, and histological outcomes after cartilage repair with particulated juvenile articular cartilage: a 2-year prospective study. Am J Sports Med. Apr 9 2014; 42(6):1417-1425. PMID 24718790
- 42. Tompkins M, Hamann JC, Diduch DR, et al. Preliminary results of a novel single-stage cartilage restoration technique: particulated juvenile articular cartilage allograft for chondral defects of the patella. Arthroscopy. Oct 2013;29(10):1661-1670. PMID 23876608
- 43. Saltzman BM, Lin J, Lee S. Particulated juvenile articular cartilage allograft transplantation for osteochondral talar lesions. Cartilage. Jan 2017;8(1):61-72. PMID 27994721
- 44. Bleazey S, Brigido SA. Reconstruction of complex osteochondral lesions of the talus with cylindrical sponge allograft and particulate juvenile cartilage graft: provisional results with a short-term follow-up. Foot Ankle Spec. Oct 2012;5(5):300-305. PMID 22935411
- 45. Coetzee JC, Giza E, Schon LC, et al. Treatment of osteochondral lesions of the talus with particulated juvenile cartilage. Foot Ankle Int. Sep 2013;34(9):1205-1211. PMID 23576118
- 46. Farr J, Gracitelli GC, Shah N, et al. High failure rate of a decellularized osteochondral allograft for the treatment of cartilage lesions. Am J Sports Med. Aug 2016;44(8):2015-2022. PMID 27179056
- 47. Johnson CC, Johnson DJ, Garcia GH, et al. High short-term failure rate associated with decellularized osteo-chondral allograft for treatment of knee cartilage lesions. Arthroscopy. Dec 2017;33(12):2219-2227. PMID 28967543

Last Review Date: 07/18

Autografts and Allografts in the Treatment of Focal Articular Cartilage Lesions

Last Review Date: 07/18

- 48. American Academy of Orthopaedic Surgeons Diagnosis and Treatment of Osteochondritis Dissecans Work Group. The diagnosis and treatment of osteochondritis dissecans: Guideline and evidence report. 2010, December 4; http://www.aaos.org/research/guidelines/OCD_guideline.pdf. Accessed February 19, 2018.
- 49. Chambers HG, Shea KG, Anderson AF, et al. American Academy of Orthopaedic Surgeons clinical practice guideline on: the diagnosis and treatment of osteochondritis dissecans. J Bone Joint Surg Am. Jul 18 2012; 94(14):1322-1324. PMID 22810404
- 50. Trice ME, Bugbee WD, Greenwald AS, et al. Articular cartilage restoration: A review of currently available methods. 2010; http://www.aaos.org/cc_files/aaosorg/research/committee/biologic/bi_se_2010.pdf. Accessed February 19, 2018.
- 51. National Institute for Health and Care Excellence (NICE). Mosaicplasty for knee cartilage defects [IPG162]. 2006; http://www.nice.org.uk/guidance/ipg162. Accessed February 19, 2018.